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2 **Phylogenetic impoverishment of plant communities following chronic human**
3 **disturbances in the Brazilian Caatinga**

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24 **Abstract**

25 Chronic disturbances, such as selective logging, firewood extraction and extensive grazing, may
26 lead to the taxonomic and phylogenetic impoverishment of remaining old-growth forest
27 communities worldwide; however, the empirical evidence on this topic is limited. We tested this
28 hypothesis in the Caatinga vegetation – a seasonally dry tropical forest restricted to northeast
29 Brazil. We sampled 11653 individuals (adults, saplings and seedlings) from 51 species in 29
30 plots distributed along a gradient of chronic disturbance. The gradient was assessed using a
31 chronic disturbance index (CDI) based on five recognized indicators of chronic disturbances:
32 proximity to urban center, houses and roads and the density of both people and livestock. We
33 used linear models to test if mean effective number of lineages, mean phylogenetic distance and
34 phylogenetic dispersion decreased with CDI and if such relationships differed among
35 ontogenetic stages. As expected, the mean effective number of lineages and the mean
36 phylogenetic distance were negatively related to CDI, and such diversity losses occurred
37 irrespective of ontogeny. Yet the increase in phylogenetic clustering in more disturbed plots was
38 only evident in seedlings and saplings, mostly because clades with more descendent taxa than
39 expected by chance (e.g., Euphorbiaceae) thrived in more disturbed plots. This novel study
40 indicates that chronic human disturbances are promoting the phylogenetic impoverishment of the
41 irreplaceable woody flora of the Brazilian Caatinga forest. The highest impoverishment was
42 observed in seedlings and saplings, indicating that if current chronic disturbances remain, they
43 will result in increasingly poorer phylogenetically forests. This loss of evolutionary history will
44 potentially limit the capacity of this ecosystem to respond to human disturbances (i.e., lower
45 ecological resilience) and particularly their ability to adapt to rapid climatic changes in the
46 region.

47 *Key words: anthropogenic disturbance; biodiversity; community assembly; environmental*
48 *filtering; forest-dependent human populations; ontogenetic response; phylogenetic diversity and*
49 *structure; wood plant assemblage; seasonally dry tropical forest; semiarid ecosystem.*

50

51

INTRODUCTION

52 Chronic human disturbances, such as the frequent and continuous removal of small
53 portions of biomass (Singh 1998), represent a geographically-widespread and effective threat to
54 tropical biodiversity, particularly in the socioecological context marked by the presence of dense,
55 forest-dependent human populations (see Forest Peoples Programme 2012). The livelihoods of
56 millions of people throughout the tropics currently depend on forest products obtained in areas
57 considered as either biodiversity hotspots or wildernesses (Singh et al. 1997, Schmidt and Ticktin
58 2012). This is particularly the case of seasonally dry tropical forests (SDTF), which are amongst
59 the most endangered tropical forests (Janzen 1988, Oatham and Boodram 2006). Although large
60 tracts of SDTF are experiencing increasing levels of habitat loss and fragmentation (i.e., acute
61 disturbances), chronic disturbances represent a subtle but pervasive source of disturbance in this
62 ecosystem that leads to the habitat degradation and biological impoverishment of the remaining
63 SDTF (Mahiri and Howorth 2001, Ribeiro et al. 2015, Arroyo-Rodríguez et al. 2015).

64 Although the available information is still scarce and there is a lack of synthesis, research
65 during the last decades has demonstrated some important impacts of chronic disturbances on
66 plant assemblages (Sagar et al. 2003, Shaanker et al. 2004, Ribeiro et al. 2015). Reduced
67 recruitment, population collapse and taxonomic impoverishment of plant assemblages have been
68 reported, in addition to the proliferation of disturbance-adapted native and exotic species (Sagar
69 et al. 2003, Marvier et al. 2004, Ribeiro et al. 2015). Disruptions of plant-animal interactions,

70 such as seed dispersal (Leal et al. 2014) and plant-protection against herbivores (Leal et al.
71 2015), have also been reported in sites experiencing overgrazing by livestock and firewood
72 collection. Furthermore, forest stands experiencing intense fodder extraction and small-scale
73 slash-and-burn agriculture usually experience soil degradation and biomass collapse as trees are
74 replaced by shrubs and grasses (Tripathi and Singh 2012, Silvério et al. 2013). Nevertheless, to
75 our knowledge, the impact that chronic disturbances may have on the phylogenetic dimension of
76 plant diversity has never been investigated.

77 In this sense, the consequences of biodiversity loss can be predicted from evolutionary
78 history by assessing the differences in the phylogenetic diversity and structure of plant
79 assemblages in human-modified landscapes (Cardinale et al. 2012, Santos et al. 2014a, Munguía-
80 Rosas et al. 2014). This information may be useful to guide conservation priorities for specific
81 taxa (e.g., Pavoine et al. 2005). Also, this phylogenetic information may help to infer potential
82 mechanisms of community assembly in these landscapes (Webb et al. 2002, Cavender-Bares et
83 al. 2009, Cavender-Bares and Reich 2012, Roeder et al. 2014) and estimate the impact that
84 evolutionary relationships among species may have on ecological processes and ecosystem
85 functioning (Webb et al. 2002, Cadotte et al 2008).

86 Human disturbances may affect the phylogenetic structure and diversity of local
87 communities in contrasting ways, depending on the level of floristic drift following disturbance
88 (see Arroyo-Rodríguez et al. 2012). If the balance between extirpation and proliferation of
89 particular lineages results in the co-occurrence of more related taxa, communities in disturbed
90 sites should be phylogenetically poorer and more clustered than those in undisturbed sites
91 (Santos et al. 2010 and 2014a, Munguía-Rosas et al. 2014). On the other hand, if the outcome of
92 the floristic reorganization results in the co-occurrence of distantly related taxa, communities

93 will be phylogenetically more diverse and disperse in disturbed sites. A third possibility is that
94 community reorganization does not result in significant changes in phylogenetic structure and
95 diversity because proliferating taxa are as disperse across the phylogeny as decreasing taxa
96 (Arroyo-Rodríguez et al. 2012, Benítez-Malvido et al. 2014). Besides limited and mixed, the
97 empirical evidence available so far relies on plant phylogenetic responses to acute anthropogenic
98 disturbances such as deforestation and forest fragmentation (Santos et al. 2010 and 2014a,
99 Arroyo-Rodríguez et al. 2012, Andrade et al. 2015). Also, all but one (Benítez-Malvido et al.
100 2014) evaluate tropical rainforests, and with the exception of the study by Arroyo-Rodríguez et
101 al. (2012), only assess adult trees (diameter at breast height (DBH) ≥ 10 cm), which may mask
102 long-term responses to disturbance. Thus, further studies that include seedlings and saplings are
103 needed to attain a comprehensive understanding of the impact that chronic disturbances may
104 have on the phylogenetic dimension of plant assemblages in SDTF.

105 We investigated the phylogenetic diversity and structure of woody plant assemblages in
106 distinct ontogenetic stages (adults, saplings and seedlings) in the Brazilian Caatinga vegetation.
107 This SDTF sustains over 23 million people (11.8% of the Brazilian population) and is one of the
108 most populated semi-arid regions, with 26 inhabitants km⁻¹ (INSA 2012). As a consequence,
109 about 50% of the Caatinga original forest cover is composed of secondary and old-growth forests
110 (MMA and IBAMA 2011). The remaining forest experiences several chronic disturbances,
111 including fuelwood collection, fodder extraction, charcoal production, overgrazing by livestock
112 and extraction of non-timber forest products (Pereira-Filho et al. 2007, Ramos et al. 2008).

113 We tested whether chronic disturbance leads to the phylogenetic impoverishment of plant
114 assemblages using a large database of Caatinga vegetation. Because it is well known that
115 different phylogenetic indices can lead to different and even contradicting results (e.g., Roeder et

116 al. 2014), we used four complementary indices of phylogenetic diversity and structure to achieve
117 accurate and more confidence interpretations (Winter et al. 2013, Roeder et al. 2014). SDTFs
118 represent stressful environments for most drought-intolerant tropical plants (Engelbrecht et al.
119 2007, Santos et al. 2014b), and it is reasonable to expect that such environmental stress increases
120 with chronic disturbance (Ribeiro et al. 2015). Assuming that environmental filtering operates on
121 evolutionary conserved traits and ecological interactions (Webb et al. 2002, Gómez et al. 2010),
122 we would expect that closely related species would occur together more frequently than expected
123 by chance (phylogenetic clustering), thus reducing plant phylogenetic diversity in sites facing
124 higher disturbance pressure (Webb et al. 2002, Cavender-Bares et al. 2009, Vandeloek et al.
125 2012, González-Caro et al. 2014). We also expect that such phylogenetic impoverishment would
126 occur across all ontogenetic stages, being stronger in seedling and sapling assemblages as these
127 ontogenetic stages are often more vulnerable to chronic disturbance (see Singh et al. 1997, Van
128 Lent et al. 2014; Ribeiro et al. 2015).

129

130 METHODS

131 *Study area*

132 The Caatinga is a mosaic of SDTFs and scrub vegetation that encompasses 826411 km²
133 restricted to Brazil (MMA and IBAMA 2011). The study area is located in the Parnamirim
134 municipality, Pernambuco state, northeast Brazil (8°5'26''S; 39°34'41''W; see Appendix A).
135 The climate is semi-arid, with an average temperature of 26°C and most of the 431 mm mean
136 annual rainfall is received between January and May (IBGE 1985). Soils are predominantly non-
137 calcic brown soils (clay soil), regosols and planosols (sandy soils) (IBGE 1985). Anthropogenic
138 activities since the 16th century in the Parnamirim municipality (e.g., extensive grazing and

139 temporary farming) have resulted in the loss of ca. 45% of the municipality forest cover, and the
140 remaining 55% faces gradual chronic disturbances (e.g., extraction of forest products for
141 medicinal purposes, animal and human feeding, firewood collection and extensive livestock)
142 (Ribeiro et al. 2015).

143 The study was carried out in 29 50 × 20-m plots (Appendix A) established in a 220 km²
144 landscape dominated by old-growth vegetation exposed to chronic disturbances. For each plot
145 we recorded five indicators of chronic disturbance that have been described in tropical forests
146 studies as important drivers of chronic human disturbances (Sagar et al. 2003, Martorell and
147 Peters 2005, Leal et al. 2014, Ribeiro et al. 2015): (i) proximity to the nearest house (PH); (ii)
148 proximity to the nearest road (PR); (iii) proximity to the urban center (PUC); (iv) density of
149 people living near the plot (DP); and (v) density of livestock near the plot (DL). We opted for
150 proxies instead of direct measures of logging, hunting, cutting and overgrazing because these
151 disturbances are not easily quantified and accessed at the landscape scale (Acharya and Dangi
152 2009, Arroyo-Rodríguez et al. 2015).

153 We quantified PH, PR and PUC as the reciprocal distance from the center of each plot
154 using satellite imagery from the Advanced Land Observing Satellite (ALOS). To estimate DP
155 and DL we first identified in the satellite imagery all dwellings near the plots. We identified 40
156 dwellings and we collected information on the number of people living in, and the number of
157 stock managed. This information was obtained through interviews done with each householder.
158 We then used the ALOS satellite imagery to estimate DP and DL, considering the interview data
159 in a 2-km buffer area from the center of each plot (i.e., within an area of 1256 ha). We used this
160 buffer size because local households assumed that the maximum dispersal distance of grazing
161 animals falls within two km.

162

Chronic disturbance index

163 Following Martorell and Peters (2005), all these disturbance indicators (i.e., PH, PR, PUC,
164 DP and DL) were combined in a single Chronic Disturbance Index (CDI) through a principal
165 component analysis (PCA) performed in R software (version 3.0.1, R Core Team 2013), using
166 the package stats. Axis 1 of the PCA explained 41% of the variation of these indicators and was
167 significantly correlated (mean correlation coefficients: $r = 0.66$, $P < 0.05$) with DP, DL and PH
168 (see Appendix B and C). Thus, because DP and DL seems to be the main factors driving changes
169 in plant communities in the region, with a stronger negative impact on seedling and sapling
170 diversities (Ribeiro et al. 2015), this index is an accurate descriptor of important chronic human
171 disturbances in the region. The scores of PCA axis 1 were rescaled from 0 to 100, representing
172 the least and most disturbed sites, respectively (Martorell and Peters 2005).

173

Plant community sampling

174 In this study we surveyed trees and shrubs of plant assemblages during the rainy seasons of
175 2012 and 2013. We sampled adults, saplings and seedlings of these life forms in each plot to do
176 the comparisons among ontogenetic stages. We defined adults as individuals with diameter at
177 soil height (DSH) > 3 cm and height > 1.5 m; saplings were individuals with DSH < 3 cm and
178 height between 1 and 1.5 m; and seedlings were individuals with height < 1 m (Rodal et al. 1992,
179 Felfili et al. 2005). We recorded all adults found in each 50×20 -m plot. Saplings were recorded
180 in three 5×5 -m subplots that were located in the center of each 50×20 -m plot and separated by
181 10 m. Seedlings were sampled in 2×2 -m subplots located in the center of each sapling subplot
182 (see Appendix D for more information regarding the outcome of the differences in plot size on
183 sample completeness per ontogenetic stage). All plants were identified to species level by

184 comparing the sampled species with samples from the Federal University of Pernambuco
185 herbarium. The botanical nomenclature followed APG III (Bremer et al. 2009).

186 *Phylogenetic diversity and structure*

187 For each plot we measured four complementary abundance-based phylogenetic metrics
188 (Webb et al. 2002, Webb et al. 2008, Chao et al. 2010; see Appendix E): mean phylogenetic
189 distance (MPD), net related index (NRI), and mean phylogenetic diversity of order q through T
190 years [i.e., ${}^q\overline{D}(T)$] considering orders 0 [${}^0\overline{D}(T)$] and 2 [${}^2\overline{D}(T)$], where ${}^q\overline{D}(T)$ quantifies the mean
191 effective number of lineages as a function of evolutionary time, T . The parameter q refers to the
192 value attributed to each node's relative abundance (Chao et al. 2010). When $q = 0$, only species
193 richness (presence/absence) is considered, and T represents the age of the first node (Chao et al.
194 2010). Yet when $q = 2$, only dominant or very abundant species are considered, and hence, ${}^2\overline{D}(T)$
195 quantifies the mean effective number of very abundant lineages as a function of evolutionary
196 time T (Chao et al. 2010). ${}^q\overline{D}(T)$ satisfies the replication principle, which is required in
197 biodiversity assessments as it considers the uniqueness of each species found in an assemblage
198 (Chao et al. 2010). MPD, on the other hand, measures the mean average distance (in millions of
199 years) among two random individuals in a specific sample, considering conspecifics, and the
200 NRI is a standardized metric of MPD and reflects whether taxa in a sample are more
201 phylogenetically clustered or dispersed than expected by chance (Webb et al. 2002, 2008,
202 Vamosi et al. 2009).

203 To obtain these phylogenetic metrics, we first produced a full species list based on the
204 APG III (Bremer et al. 2009) classification, after identifying all species of adults, saplings and
205 seedlings recorded across the 29 plots. We then assembled a list of 51 species belonging to 46
206 genera and 23 families, considering the three ontogenetic stages (see Supplement 1), and

207 constructed a regional time-calibrated phylogeny by estimating the continuous phylogenetic
208 distance between the sampled species using Bayesian inference and Markov chain Monte Carlo
209 (MCMC) methods (see Appendix F and G for more details of phylogeny construction methods).
210 Based on the time-calibrated phylogeny, we obtained ${}^q\overline{D}(T)$ for $q = 0$ and $q = 2$ using the
211 program PhD (Chiu and Chao 2012) that runs in the software R 3.0.1 (R Core Team 2013). We
212 also used the time-calibrated phylogeny to calculate the MPD and NRI metrics using the
213 COMSTRUCT function of Phylocom 4.2 adopting the switch ‘-a’ to weight phylogenetic metrics
214 by taxa abundances. To evaluate if the phylogenetic structure within each plot differed from the
215 phylogenetic structure expected by chance, we compared observed MPD to the expected MPD
216 for 999 randomly generated null communities (MPD.rnd) using null model 2 of Phylocom 4.2.
217 This model has the advantage of maintaining the species richness and abundance structure within
218 communities, and assumes that all species of the pool have the same chance of colonizing any
219 given plot (Arroyo-Rodríguez et al. 2012). After computing observed and expected MPD for
220 each sample, we calculated the NRI metric. NRI is defined as $[-1 (MPD - PD.rnd)/MPD.sd]$,
221 where MPD.sd represents the standard deviation of MPD.rnd from the 999 null communities
222 (Webb et al. 2002, 2011). Positive values of NRI indicate phylogenetic clustering, while negative
223 values represent phylogenetic overdispersion (Vamosi et al. 2009).

224 Finally, we used the NODESIG function of Phylocom 4.2 to determine which clades
225 contributed significantly to any non-random phylogenetic structure of ontogenetic stages (adults,
226 saplings and seedlings) (see Webb et al. 2011 for more details of this function). The NODESIG
227 procedure verifies the occurrence of nodes with significantly more or less descendent taxa than
228 expected by chance. Nodes that present more or fewer descendent taxa than expected by chance

229 are highlighted as ‘sigmore’ or ‘sigless’, respectively, and allow us to identify clades responsible
230 for the non-random phylogenetic structure in a sample (Webb et al. 2011).

231 *Data analyses*

232 We used linear models to test whether ${}^0\bar{D}(T)$, ${}^2\bar{D}(T)$ and MPD decreased and NRI increased
233 with CDI, and whether such relationships differed among ontogenetic stages. We included plot
234 relative abundance as a covariable in the models to control for differences in abundance across
235 plot sizes. These analyses were done in JMP 8 (SAS Institute Inc.) with the standard least
236 squares personality and the Gaussian distributions for all response variable errors after checking
237 that they followed a Normal distribution with Shapiro-Wilk tests (see Appendix H). We also
238 assessed if the species declining in more disturbed plots were more distantly related than those
239 species proliferating in such plots. To do this, we correlated the CDI with the abundance of each
240 species. These analyses were carried out using the software R 3.0.1 (R Core Team 2013), using
241 the package Hmisc.

242

243 RESULTS

244 We recorded 10634 adult plants (362 ± 92.1 stems per plot; mean \pm SD) belonging to 51
245 species (18.5 ± 3.6) (see Appendix I). For the sapling assemblage, we recorded 717 stems (11.6
246 ± 6.5) from 40 species (6.7 ± 2.2) (Appendix I). In the seedling community, we recorded 302
247 stems (10.6 ± 3.5) from 34 species (5.1 ± 1.8) (Appendix I). The most representative families in
248 the three ontogenetic classes were Euphorbiaceae and Fabaceae, with 10–13 species per
249 ontogenetic class. When considering species richness, the mean effective number of distinct
250 lineages expected to the height of the phylogenetic tree [${}^0\bar{D}(T)$] varied among ontogenetic stages,
251 being significantly higher in adults (10 ± 1.5 lineages per plot), than in saplings (4.9 ± 1.4

252 lineages) and seedlings (4.3 ± 1.2 lineages) (Table 1). When considering the number of very
253 abundant or dominant species [${}^2\bar{D}(T)$], all ontogenetic stages showed a similar mean effective
254 number of lineages: 2.3 ± 0.8 lineages per plot in adults, 2.5 ± 0.7 lineages in saplings, and $2.8 \pm$
255 0.8 lineages in seedlings (Table 1). The mean phylogenetic distance (MPD) and net relatedness
256 index (NRI) was also similar in all ontogenetic classes. MPD averaged 106.1 ± 33.3 million
257 years in adult assemblages, 120 ± 44.5 million years in sapling assemblages, and 126.4 ± 51.7
258 million years in seedling assemblages, whereas NRI averaged 2.6 ± 1.0 in adults, 1.4 ± 1.6 in
259 saplings, and 1.8 ± 1.7 in seedlings (Table 1).

260 As expected, MPD, ${}^0\bar{D}(T)$ and ${}^2\bar{D}(T)$ were negatively related to CDI, and such diversity
261 losses occurred irrespective of ontogeny (Fig. 1; Table 2; Appendix J). Yet the increase in
262 phylogenetic clustering in more disturbed plots was only evident in seedlings and saplings (Fig.
263 1; Table 2; Appendix J).

264 Adult assemblages presented significantly non-random phylogenetic structures with
265 respect to the regional species pool in 18 out of 29 (69%) plots (see Appendix K). Within these
266 18 plots we identified 13 out of 104 internal nodes with more or less descendants than expected
267 by chance (Appendix K). These nodes were composed of major clades such as Fabids, Rosids
268 and Malvids, as well as lower clades such as order and family (e.g., Brassicales, Malvales and
269 Fabaceae) (Appendix K). In adults, we did not find specific ‘sigless’ or ‘sigmore’ nodes
270 exclusively related to either the most or least disturbed sites (Appendix K).

271 Considering sapling and seedling assemblages, we observed a significantly non-random
272 phylogenetic structure in 14 and 15 plots, respectively (Appendix K). For these plots, saplings
273 and seedlings had 15 and 12 out of 104 internal nodes, respectively, with more descendent taxa
274 than expected by chance (Appendix K). In general, these nodes were evenly distributed along the

275 disturbance gradient, with exception of the Euphorbiaceae and its descendent taxa, which
276 occurred frequently in the most disturbed plots (CDI > 50) (Appendix K).

277 Additionally, we verified that some families such as Capparaceae and Celastraceae,
278 which are represented by a few species, did not occur in the more disturbed sites, considering all
279 ontogenetic stages (Fig. 2). When analyzing the relationships between species abundance and
280 disturbance, distantly related taxa such as *Fraunhoferia multiflora* (Celastraceae), *Varronia*
281 *leucocephala* (Boraginaceae), *Myracrodruon urundeuva* (Anacardiaceae) and *Bauhinia*
282 *cheilantha* (Fabaceae), were negatively and significantly related to CDI, whereas closely related
283 species such as *Croton sonderianus*, *Jatropha ribfolia* and *J. mollissima* (all within the
284 Euphorbiaceae family) were positively and significantly related to CDI (Appendix L).

285

286 DISCUSSION

287 Chronic human disturbances have been increasingly considered as important drivers of
288 habitat degradation (Singh 1998, Sagar et al. 2003, Martorell and Peters 2005, Leal et al. 2014,
289 Arroyo-Rodríguez et al. 2015), but until now the impact that chronic disturbances might have on
290 the phylogenetic diversity and structure of plant assemblages was unknown. As expected, our
291 analyses indicate that the mean phylogenetic distance (MPD) and mean effective number of
292 lineages [${}^0\bar{D}(T)$ and ${}^2\bar{D}(T)$] were negatively related to CDI, and that such a loss of evolutionary
293 history occurred across all ontogenetic stages. This novel finding suggests that local extirpation
294 of plant species across the disturbance gradient (see Ribeiro et al. 2015) does not occur randomly
295 or uniformly, but in a clustered manner throughout the phylogenetic tree. This was confirmed by
296 the metric of phylogenetic clustering (NRI), which increased in more disturbed plots especially
297 for sapling and seedlings.

298 The decrease of phylogenetic diversity and increase of phylogenetic clustering in human-
299 modified tropical landscapes has been demonstrated in other studies, but only in response to
300 acute disturbances, such as forest loss and fragmentation (e.g., Santos et al. 2010 and 2014a;
301 Munguía-Rosas et al. 2014, Andrade et al. 2015). For example, Munguía-Rosas et al. (2014)
302 found that phylogenetic plant diversity (i.e., individuals with DBH > 1.6 cm) is 19% greater and
303 more overdispersed in a continuous forest when compared to an adjacent naturally fragmented
304 forest in the Yucatan Peninsula, Mexico. Santos et al. (2014a) also documented the loss of tree
305 phylogenetic diversity (DBH > 10 cm) and the reduction in phylogenetic evenness in a
306 fragmented tropical landscape in central Amazonia. Finally, in a hyper-fragmented landscape of
307 the Brazilian Atlantic forest, Santos et al. (2010) show that tree phylogenetic diversity (DBH >
308 10 cm) is 11% lower in forest edges than in old-growth forest interior areas. Overall, these
309 phylogenetic responses may be the result of several mechanisms. For example, higher
310 competitive exclusion between sister taxa in conserved forests may favor the co-occurrence of
311 less related taxa in these forests (see Roeder et al. 2014). Also, environmental filtering, which
312 may operate on traits with significant phylogenetic signals (see Willis et al. 2010), can allow
313 closely related species to occur together more frequently than expected by chance in disturbed
314 forests (Munguía-Rosas et al. 2014, Santos et al. 2014a).

315 As we have used proxies instead of direct measures of chronic disturbances, we cannot
316 test which are the main proximate and underlying mechanisms that promoted the observed shifts
317 in phylogenetic diversity and structure. However, it is well known that plant recruitment in
318 SDTF is driven mainly by abiotic filters imposed by seasonality and frequent droughts (Ceccon
319 et al. 2006), thus supporting niche conservatism and life-history convergence at the community
320 level (Pennington et al. 2009). The continuous removal of plant individuals and biomass is

321 expected to impose additional recruitment and dispersal limitations; first, because it can reduce
322 population sizes (Endress et al. 2004) and seed production (Singh et al. 1997), and second,
323 because such removal can alter vegetation structure (e.g., reduced stem density, greater canopy
324 openness) and microclimatic conditions (e.g., increased habitat desiccation; Kumar et al. 2008).
325 Although all these alterations can reduce the recruitment of many native plant species (Endress et
326 al. 2004), some exotic and native disturbance-adapted species can proliferate in degraded sites
327 (Marvier et al. 2004, Ribeiro et al. 2015).

328 In this sense, it is clear from our results that the balance between extirpation and
329 proliferation of particular lineages resulted in the co-occurrence of more related taxa in disturbed
330 sites. For example, complete lineages such as the Capparaceae (with 2 species) and Celastraceae
331 (with 1 species) families disappeared in sites with a higher degree of disturbance (Fig. 2). The
332 loss of these families represents the loss of evolutionary history. The Celastraceae family,
333 especially, is represented by just one species: *Fraunhoferia multiflora*, whose genus is monotypic
334 and endemic to the Brazilian Caatinga (Simmons et al. 2012). The abundance of several distantly
335 related species such as *F. multiflora* (Celastraceae), *Myracrodruon urundeuva* (Anacardiaceae)
336 and *Piptadenia stipulaceae* (Fabaceae) was also negatively and significantly related to the
337 chronic disturbance index (Appendix L). These lineages are known to be vulnerable to chronic
338 disturbances because they have high wood density ($> 0.8 \text{ g/cm}^3$) and are good biofuel species
339 appreciated by local people as firewood, charcoal and fences (Ramos et al. 2008).

340 In contrast, many species within the species-rich Euphorbiaceae family, such as those
341 within the *Croton* and *Jatropha* genera, incremented their frequency and abundance in more
342 disturbed plots (also see Ribeiro et al. 2015). The abundance of *Croton sonderianus* and
343 *Jatropha ribifolia* (Euphorbiaceae) was also positively related to the disturbance index

344 (Appendix L). Euphorbiaceae is the second most common family in the Caatinga flora (103
345 species, Moro et al. 2014), and many species within this family (e.g., *Croton sonderianus* and
346 *Cnidocolus quercifolius*) are recognized as aggressive colonizers of human-disturbed habitats
347 (Carvalho et al. 2001). The mechanisms related to these species' ability to colonize disturbed
348 habitats are poorly explored, but are probably related to their degree of relatedness that make this
349 species more ecologically similar and able to compete more strongly than distant relatives
350 (Cahill et al. 2008, but see Fritschie et al. 2014).

351 *Conclusions and implications for conservation*

352 Chronic human disturbances can negatively affect the biological diversity of tropical biotas
353 at the population, community and ecosystem levels (Sagar et al. 2003; Shaanker et al. 2004). In
354 fact, there is ample anecdotal evidence in the Caatinga literature establishing causal connections
355 between overexploitation of long-lived hardwood species, intense firewood collection,
356 overgrazing by goats, slash-and-burning agriculture and the emergence of degraded habitats,
357 ranging from impoverished forest assemblages to desertified areas (Leal et al. 2005, MMA and
358 IBAMA 2011). Here we document, for the first time, that chronic disturbances also promote the
359 phylogenetic impoverishment of the irreplaceable woody flora of the Brazilian Caatinga forest.
360 The greatest impoverishment was observed in seedlings and saplings, thus indicating that if
361 current chronic disturbances remain, they will result not only in taxonomically poorer plant
362 assemblages (Ribeiro et al. 2015), but also in phylogenetically impoverished forests.

363 Such phylogenetic impoverishment will limit the capacity of the ecosystem to respond to
364 human disturbances (i.e., lower ecological resilience) (Willis et al. 2008). Hence, to retain the
365 evolutionary capital of the Caatinga, we should promote strategies that include: (i) investments in
366 research and implementation of rural programs able to promote better practices in terms of

367 sustainable forest resource use and livestock management; (ii) government incentives that
368 involves programs and funds to restore disturbed sites; (iii) law enforcement with a view to move
369 forest extractivism towards sustainable standards; and (iv) increase the coverage of strictly
370 protected areas, considering the phylogenetic diversity, while restricted future chronic
371 disturbances in these areas.

372

373

ACKNOWLEDGEMENTS

374 We thank Fundação de Amparo a Pesquisa à Ciência e Tecnologia do Estado de
375 Pernambuco (FACEPE, nº 0140-2.05/08), Conselho Nacional de Desenvolvimento Científico e
376 Tecnológico (CNPq, nº 772902009-4) and Rufford Small Grants Foundation (RSGF nº 11281-1)
377 which funded this study. We are also grateful to Estação de Agricultura Irrigada de Parnamirim
378 for logistic support, landowners for giving us the permits for working on their properties. C. A.
379 Mororó, C. B. Santos, J. D. Ribeiro-Neto, K. M. Demétrio provided essential help during the
380 fieldwork. E.M.S.R. also thanks FACEPE for a doctorate scholarship (nº IBPG 0348-2.05/10)
381 and to Coordenação de Aperfeiçoamento de Pessoal Nível Superior (CAPES) for a sandwich
382 doctorate scholarship (nº 5372-13-5) at the Instituto de Investigaciones en Ecosistemas y
383 Sustentabilidad, UNAM. M.T., B.A.S., and I.R.L. thank CNPq for research grants.

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560

Table 1. Differences in plant phylogenetic metrics among ontogenetic classes (adult trees, saplings and seedlings) sampled in 29 sites from the Brazilian Caatinga forest, Parnamirim municipality, Pernambuco, Brazil. Different letters indicate significant differences among classes after post hoc comparisons (Tukey tests).

| Ontogenetic stage | Phylogenetic metric | | | | | | | | | |
|-------------------|-----------------------------|------------|----------------------------|------------------|-------------------------------|--------------|----------------------------|---------------|---------------|-----------|
| | ${}^0\bar{D}(T)$ | | | ${}^2\bar{D}(T)$ | | | MPD | | | NRI |
| | Mean \pm SD | Max - Min | Mean \pm SD | Max - Min | Mean \pm SD | Max - Min | Mean \pm SD | Max - Min | Mean \pm SD | Max - Min |
| Adults | 10.0 ^a \pm 1.5 | 13.0 - 7.1 | 2.3 ^a \pm 0.8 | 5.1 - 1.3 | 106.1 ^a \pm 33.3 | 184.1 - 50.0 | 2.7 ^a \pm 1.1 | 4.7 - 0.3 | | |
| Saplings | 4.9 ^b \pm 1.4 | 7.2 - 1.3 | 2.5 ^a \pm 0.7 | 4.1 - 1.0 | 120.0 ^a \pm 44.5 | 191.1 - 22.7 | 1.7 ^a \pm 1.8 | 6.1 - (-0.8) | | |
| Seedlings | 4.3 ^b \pm 1.2 | 7.0 - 1.9 | 2.8 ^a \pm 0.8 | 4.2 - 1.3 | 126.5 ^a \pm 51.7 | 203.9 - 15.6 | 1.8 ^a \pm 1.8 | 5.2 - (-0.85) | | |

Table 2. Results of linear models fitted to test the effect of chronic disturbance index on phylogenetic metrics of plant assemblages (adults, saplings and seedlings) from the Brazilian Caatinga vegetation, Parnamirim municipality, Pernambuco, Brazil†. Phylogenetic metrics: ${}^0\bar{D}(T)$ - mean phylogenetic diversity of total lineages, ${}^2\bar{D}(T)$ - mean phylogenetic diversity of dominant lineages, MPD - mean phylogenetic distance and, NRI - nearest relatedness index. Model factors codes: CDI – chronic disturbance, OS - ontogenetic stage, A – stem relative abundance of the plot.

| Phylogenetic metric | Whole model | | Model factors | | | |
|---------------------|--------------------|--------|---------------|----------------------|----------------------|----------------------|
| | R^2_{adj} | F | CDI | OS | A | CDI × OS |
| ${}^0\bar{D}(T)$ | 0.78 | 51.58* | 6.36* | 7.26* | 2.86 ^{n.s.} | 0.66 ^{n.s.} |
| ${}^2\bar{D}(T)$ | 0.12 | 2.96* | 5.99* | 0.29 ^{n.s.} | 0.67 ^{n.s.} | 0.14 ^{n.s.} |
| MPD | 0.21 | 4.93* | 23.65* | 0.09 ^{n.s.} | 0.38 ^{n.s.} | 0.84 ^{n.s.} |
| NRI | 0.18 | 4.16* | 7.33* | 1.27 ^{n.s.} | 0.76 ^{n.s.} | 5.63* |

†We indicate the F values and significance level (^{n.s.} $P > 0.05$; * $P < 0.05$). Degrees of freedom: whole model (6,86), CDI (1,86), OS (2,86), A (1,86) and CDI×OS (2,86).

Figure legends

FIG. 1. Relationships between each phylogenetic metric and the chronic disturbance index in old-growth Caatinga forests in Parnamirim municipality, Brazil. The 'r' values in each line are the Pearson's correlation coefficient used to illustrate the strength of relationships between phylogenetic metrics by ontogenetic stage with chronic disturbance.

FIG. 2. Relationships between adults' (A), saplings' (B) and seedlings' (C) relative individual densities per plant family and the chronic disturbance index. Families acronyms - Ana: Anacardiaceae; Apo: Apocynaceae; Bix: Bixaceae; Bor: Boraginaceae; Bur: Burseraceae; Cac: Cactaceae; Cap: Capparaceae; Cel: Celastraceae; Com: Combretaceae; Eup: Euphorbiaceae; Ery: Erythroxyllaceae; Fab; Fabaceae; Nyc: Nyctaginaceae; Mal: Malvaceae; Ola: Olacaceae; Rha; Rhaminaceae; Rub; Rubiaceae; Sal: Salicaceae; Ver: Verbenaceae.

Figure 1.

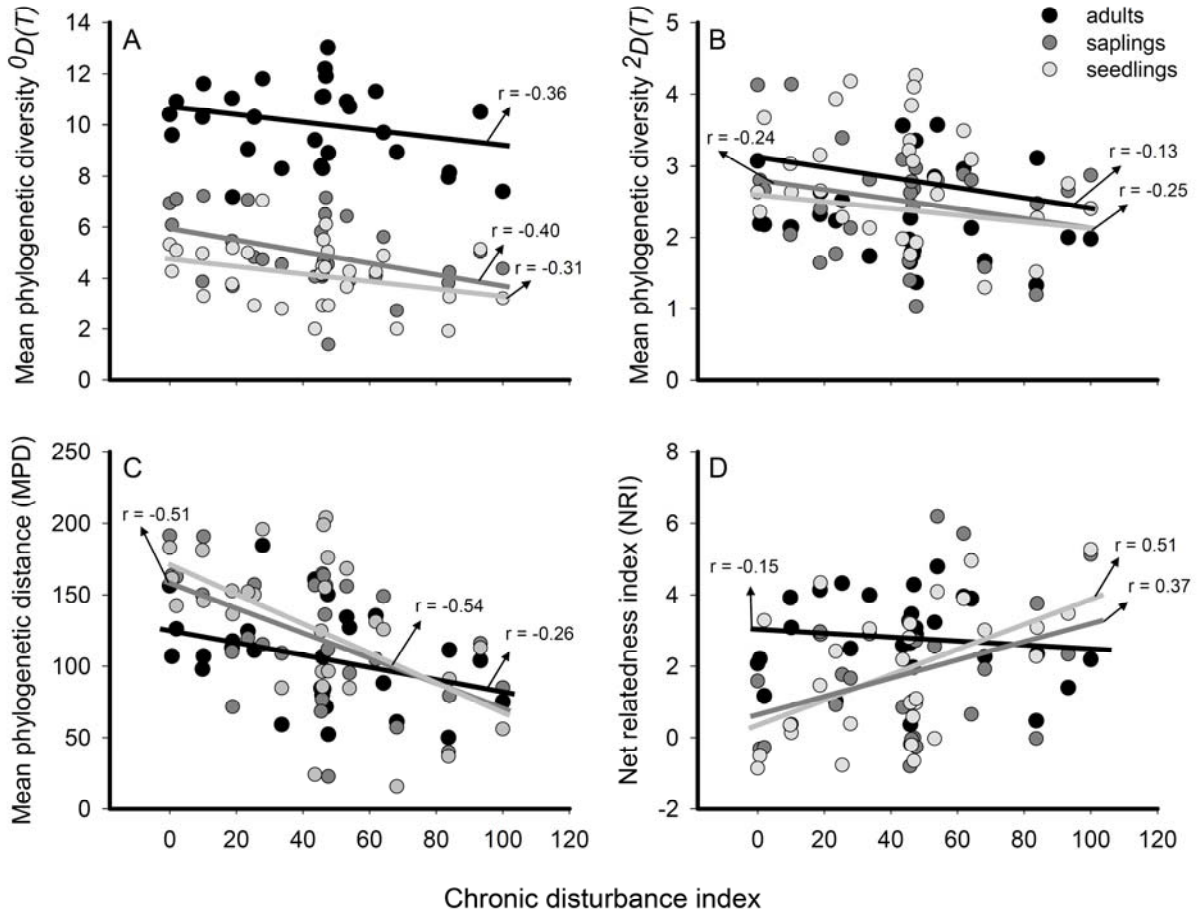
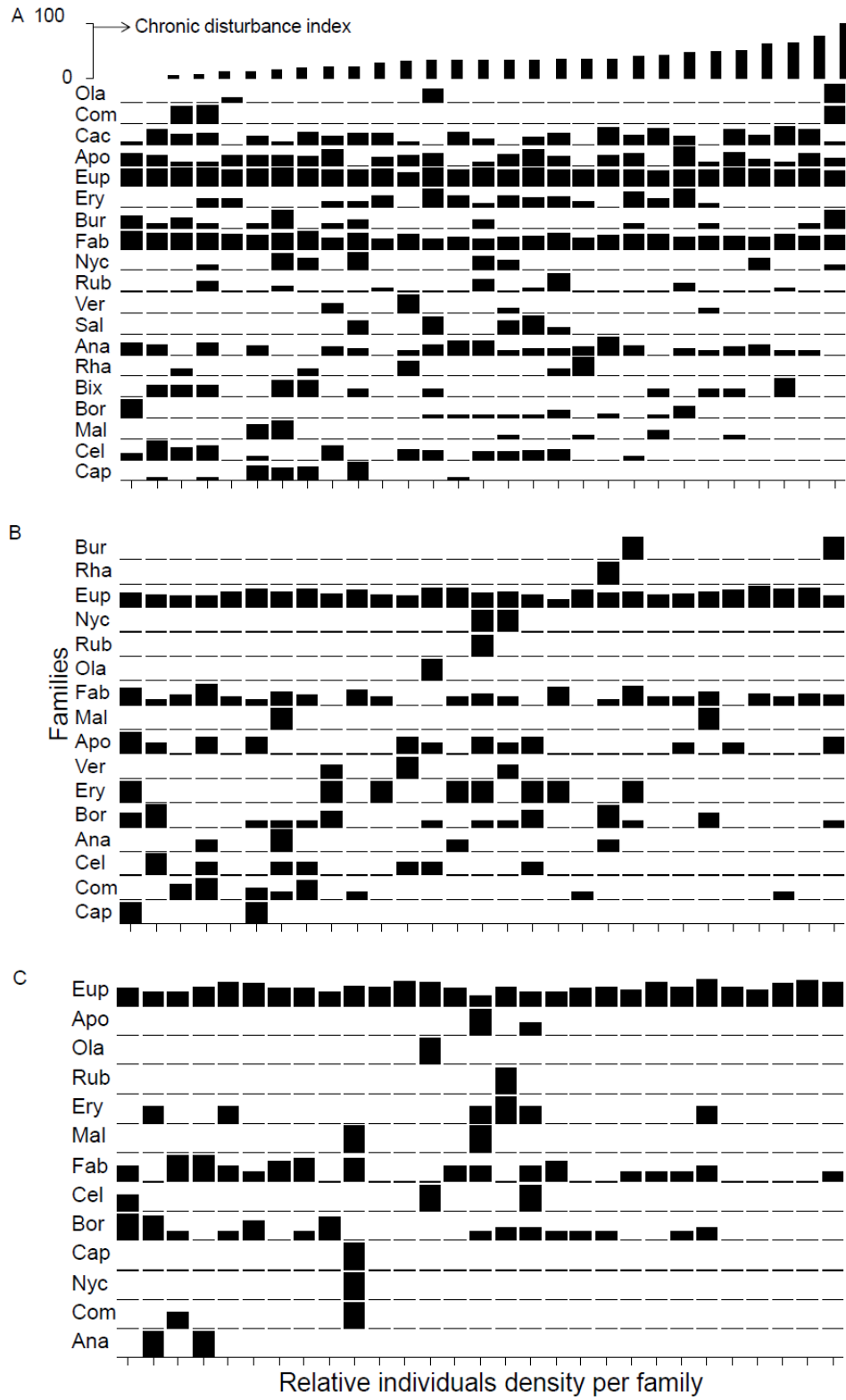


Figure 2.

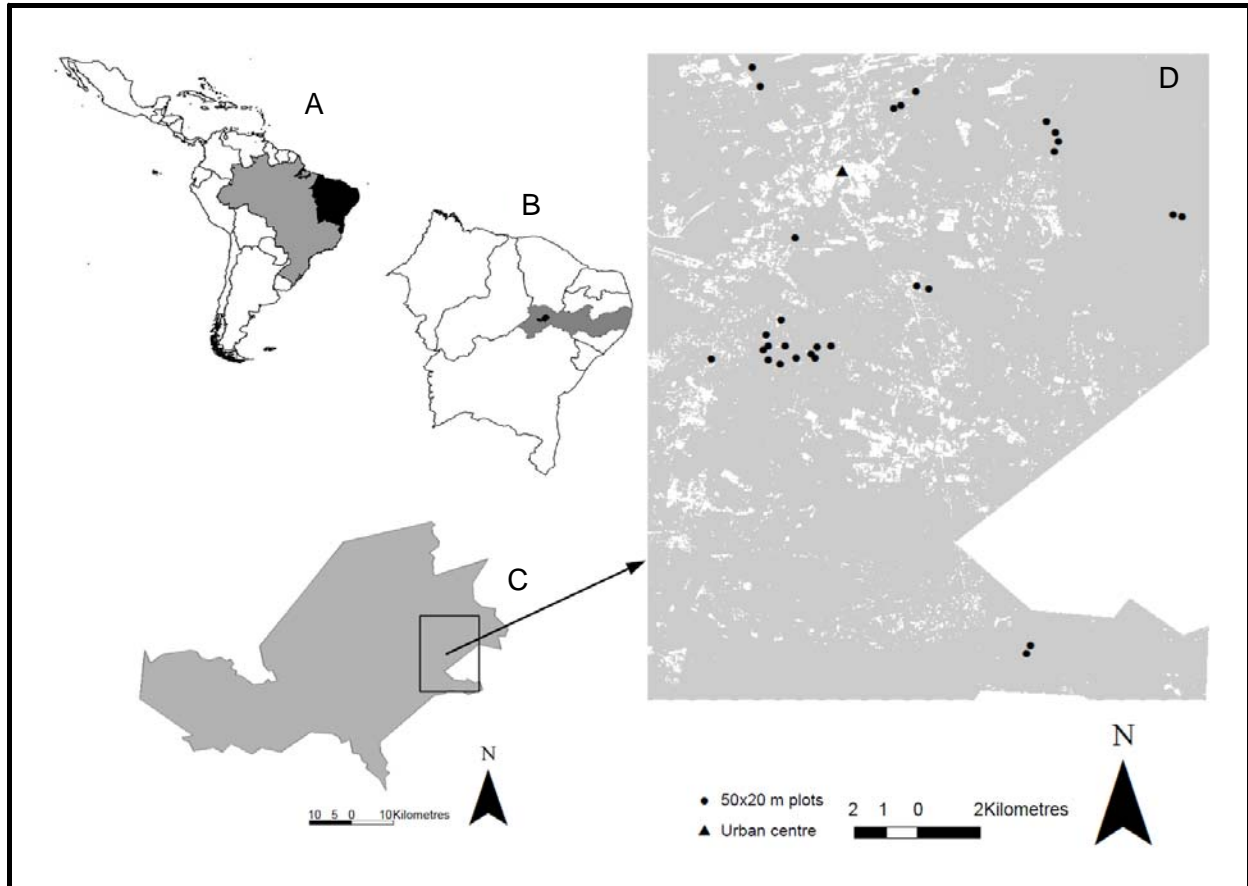


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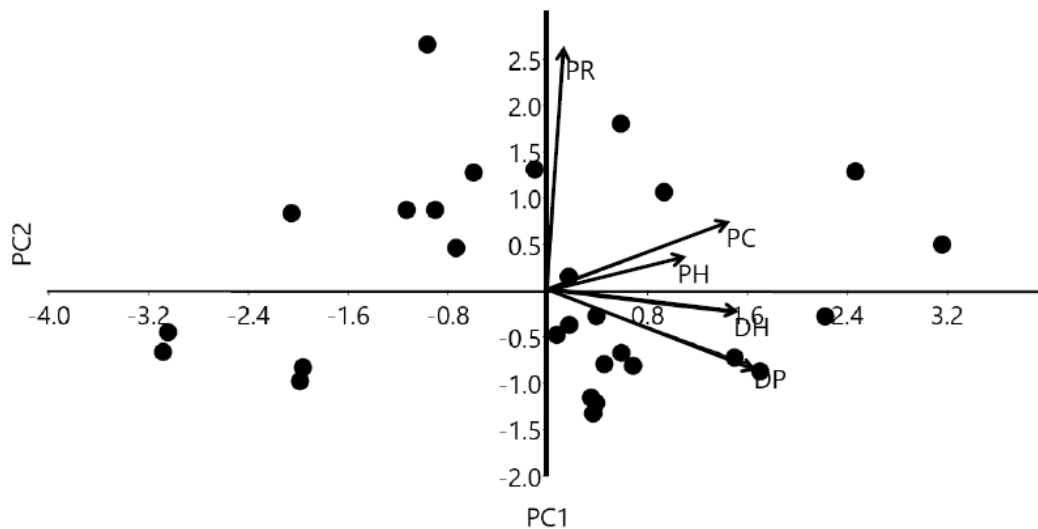
Appendices A, B, C, D, F, G, H, I, J, K, L and a Supplement are available online:

<http://dx.doi.org/>

Appendix A. Location of the study area in northeastern Brazil (A). We indicate the Parnamirim municipality (in black) within the Pernambuco state (in gray) (B). We also show the study landscape (C) in which we located 29 50×20 -m plots, and the urban center of Parnamirim (black triangle) (d). The white areas in (D) represent deforested areas.



Appendix B. Principal component analysis biplot illustrating the relationship between the chronic disturbance index (PC1, which explained 41% of variation), the chronic disturbance predictors (DP: density of people; DL: density of livestock; PR: proximity to nearest road; PC: proximity to urban center; PH: proximity to the nearest house) and sample distributions (black circles). Positive values of PC1 scores represent the most chronically disturbed sites, while negative values represent the least disturbed sites.



Appendix C. Correlation matrix showing relationships between chronic disturbance index (CDI) and chronic disturbance predictors (DP: density of people; DL: density of livestock; PR: proximity to nearest road; PC: proximity to urban center; PH: proximity to the nearest house). Cell values correspond to the Pearson's correlation coefficient (below the empty diagonal) and its associated *P*-value (above the diagonal). Bold cases emphasize significant relationships ($P < 0.05$).

| | PR | PC | DH | DP | DL | CDI |
|-----|--------|--------------|--------------|--------------|--------------|--------------|
| PR | | 0.967 | 0.647 | 0.399 | 0.487 | 0.151 |
| PC | -0.008 | | 0.075 | 0.014 | 0.077 | 0.005 |
| DH | -0.090 | 0.341 | | 0.019 | 0.595 | 0.002 |
| DP | -0.165 | 0.456 | 0.439 | | 0.011 | 0.000 |
| DL | -0.136 | 0.339 | -0.104 | 0.472 | | 0.000 |
| CDI | -0.278 | 0.744 | 0.553 | 0.865 | 0.610 | |

Appendix D. Differences in plot size and sample completeness.

To address this potential problem, we calculated the inventory completeness for each plot and ontogenetic class using the coverage estimator recommended by Chao & Shen (2010). Sample coverage was greater in adults than saplings and seedlings, averaging $98.6\% \pm 1.1\%$ for adult (mean \pm SE), $87.7\% \pm 1.2\%$ for saplings and $72.6\% \pm 3.3\%$ for seedling plots. This suggested that our results could be biased by differences in sample completeness among ontogenetic stages (Chao & Shen 2010; Chao & Jost 2012). However, when we correlated plot sample completeness with their respective phylogenetic metrics, no pattern rose. Of the 12 correlations performed (four metrics by three ontogenetic stages), only two were statistically significant [correlations of completeness with ${}^0D(T)$ and MPD for saplings] and even so quite weak ($r < 0.5$). Seedling and adult assemblages, which had the lowest and greatest abundance per plot, respectively, did not show correlation between phylogenetic metrics and sample completeness. To completely discard the influence of sampling completeness, we also correlated the metrics with species richness predicted by rarefaction curves. None but one of the 12 possible correlations between predicted richness and the phylogenetic metrics was statistically significant. The only significant correlation rose between predicted richness and the adult NRI ($r = -0.456$, $p = 0.015$). Based on these analyses we conclude that differences in plot size and their effects on abundance did not significantly affect our results.

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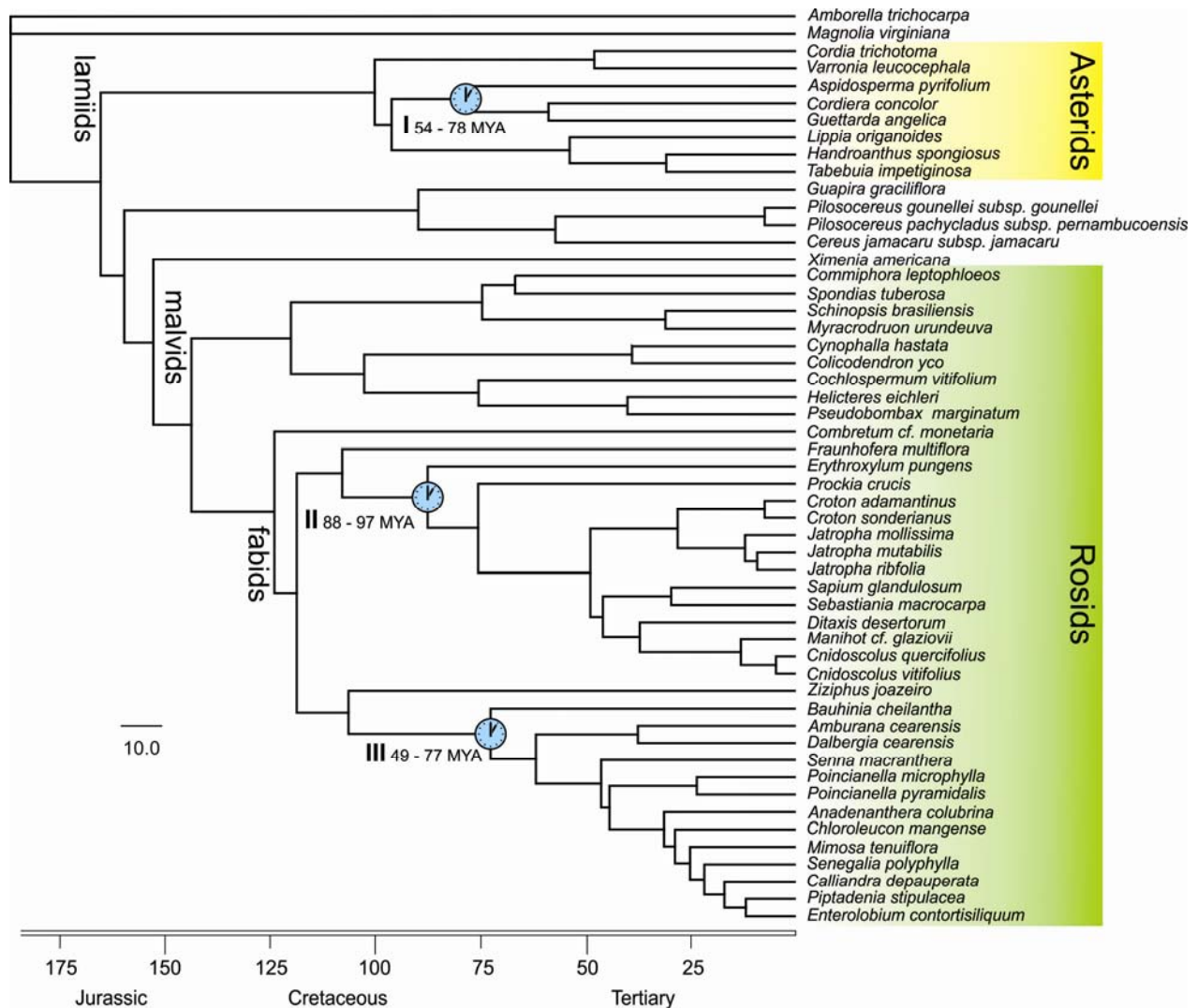
Appendix E. Correlation matrix showing relationships between phylogenetic metrics: ${}^0\bar{D}(T)$ - mean phylogenetic diversity of total lineages, ${}^2\bar{D}(T)$ - mean phylogenetic diversity of dominant lineages, MPD - mean phylogenetic distance and, NRI - nearest relatedness index. We can observe a weak relationship between NRI and ${}^0\bar{D}(T)$, which indicates that the increase of lineages can result in phylogenetic clustering. Cell values correspond to the Pearson's correlation coefficient (below the empty diagonal) and its associated *P*-value (above the diagonal). Bold cases emphasize significant relationships ($P < 0.05$). As NRI is a standardized measure of MPD, the increase in clustering degree result in the decrease in phylogenetic distances between the species. We can also observe that the increase in MPD values can be related to the increase of dominant lineages (${}^2\bar{D}(T)$).

| | ${}^0\bar{D}(T)$ | ${}^2\bar{D}(T)$ | MPD | NRI |
|------------------|------------------|------------------|---------------|--------------|
| ${}^0\bar{D}(T)$ | | 0.500 | 0.258 | 0.043 |
| ${}^2\bar{D}(T)$ | 0.073 | | 0.000 | 0.969 |
| MPD | 0.122 | 0.781 | | 0.000 |
| NRI | 0.217 | 0.004 | -0.400 | |

Appendix F. Methods used to build our time-calibrated phylogeny.

Initially we searched for four DNA regions [ribulose-bisphosphate carboxylase gene (*rbcL*), maturase K (*matK*), 5.8S ribosomal RNA gene, and *trnL-trnF* intergenic spacer (*trnL-F*)] from sequence data available in GenBank and in *Amborella* Genome Database (see Appendix G). When sequence data for a species were not available, we used a randomly chosen alternative species within the genus to estimate the relatedness to that genus (see Appendix F). The effect on branch length of using these substitute species is expected to be minimal given the breadth of phylogenetic sampling (Cadotte et al. 2008, 2009). We used *Amborella trichocarpa* and *Magnolia virginiana* to root the tree and increase the depth of taxon sampling (Burns and Strauss 2011). Sequences were aligned for each region independently and later combined into a single supermatrix using Geneious version 7.1.4 (Kearse et al. 2012). The Bayesian inference search was performed using Mr. Bayes v3.1.2 (Ronquist and Huelsenbeck 2003), allowing the general time reversible (GTR) + γ model to be estimated, and using the default settings. Multiple runs were performed to ensure that the resulting phylogeny was not stuck on a local optimum. We then created a time-calibrated phylogeny adopting fossil calibration points derived from Bell et al. (2010) using BEAST v1.8.2 (Drummond et al. 2012). Simultaneous divergence-time and phylogenetic analyses were conducted using MCMC methods implemented in BEAST v1.8.2, which employs a lognormal relaxed-clock model to estimate divergence times.

Bellow we show the time-calibrated tree which illustrates our regional phylogeny and the tree calibration points derived from Bell et al. (2010): I Gentianales (54-78 MY), (II) Malpighiales (88-97 MY) and Fabaceae (49-77 MY).



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Appendix G. Sequences used to estimate the Bayesian tree (Appendix D). We used four DNA regions maturase K (*matK*), 5.8S ribosomal RNA gene (5.8S), ribulose-1,5-carboxylase/bisphosphate gene (*rbcl*), and intergenic spacer (*trnL-trnF*). Data from sequences available in GenBank and in *Amborella* Genome Database (<http://amborella.huck.psu.edu/shortstack>). NA – Cases where information was absent for the species and its genera.

| Species | Species used for matK | matK | Species used for 5.8S | 5.8S | Species used for rbcl | rbcl | Species used for trnL-trnF | trnL-trnF |
|--------------------------------|--------------------------------|----------|------------------------------------|-----------------------------|------------------------------------|----------|-------------------------------------------|-----------|
| <i>Amborella trichocarpa</i> | <i>Amborella trichocarpa</i> | AF543721 | <i>Amborella trichocarpa</i> | AmTr_v1.0_scaffold 03396 | <i>Amborella trichocarpa</i> | L12628 | <i>Amborella trichocarpa</i> | AY14532 |
| <i>Amburana cecarensis</i> | <i>Amburana cecarensis</i> | JX846614 | <i>Amburana cecarensis</i> | KJ813615 | NA | NA | <i>Amburana cecarensis</i> | EF466144 |
| <i>Anadenanthera colubrina</i> | <i>Anadenanthera colubrina</i> | EU812064 | <i>Anadenanthera colubrina</i> | JQ910930 | <i>Anadenanthera peregrina</i> | KJ082119 | <i>Anadenanthera peregrina</i> | EU811875 |
| <i>Aspidosperma pyrifolium</i> | <i>Aspidosperma pyrifolium</i> | JX850029 | <i>Aspidosperma marcegravianum</i> | FJ037794 | <i>Aspidosperma cylindrocarpon</i> | DQ660633 | <i>Aspidosperma quebracho-blanco</i> | AF214165 |
| <i>Bauhinia cheilantha</i> | <i>Bauhinia tomentosa</i> | AY386893 | <i>Bauhinia cheilantha</i> | DQ787410 | <i>Bauhinia guianensis</i> | JQ626034 | <i>Bauhinia forficata subsp. priniosa</i> | FJ801053 |
| <i>Calliandra depauperata</i> | <i>Calliandra rhodocephala</i> | JQ587534 | <i>Calliandra depauperata</i> | JX870682 | <i>Calliandra vaupesiana</i> | KR082842 | <i>Calliandra ulei</i> | JX870815 |

| | | | | | | | | |
|--------------------------------------------------|---------------------------------------------|----------|----------------------------------------|----------|-----------------------------------------------------------------------------|----------|---------------------------------------------|----------|
| <i>Cereus jamacaru</i> <i>subsp. jamacaru</i> | <i>Cereus</i> <i>alacriportanus</i> | AY015313 | <i>Cereus</i> <i>alacriportanus</i> | AY064344 | <i>Cereus</i> <i>femambucensis</i> | AY875240 | <i>Cereus aethiops</i> | JQ779665 |
| <i>Chloroleucon</i> <i>mangense</i> | <i>Chloroleucon</i> <i>mangense</i> | AY386921 | <i>Chloroleucon</i> <i>mangense</i> | EF638183 | NA | NA | <i>Chloroleucon</i> <i>mangense</i> | AF278517 |
| <i>Cnidioscolus</i> <i>quercifolius</i> | <i>Cnidioscolus</i> <i>aconitifolius</i> | AB268041 | NA | NA | <i>Cnidioscolus</i> <i>aconitifolius</i> | AB267937 | <i>Cnidioscolus</i> <i>tubulosus</i> | EU518895 |
| <i>Cnidioscolus vitifolius</i> | <i>Cnidioscolus</i> <i>spinosus</i> | KM219793 | NA | NA | <i>Cnidioscolus</i> <i>urens</i> var. <i>stimulosus</i> | AY794874 | <i>Cnidioscolus</i> <i>albomaculatus</i> | EU518894 |
| <i>Cochlospermum</i> <i>vitifolium</i> | <i>Cochlospermum</i> <i>vitifolium</i> | JQ587264 | NA | NA | <i>Cochlospermum</i> <i>vitifolium</i> | JQ591114 | NA | NA |
| <i>Colicodendron yco</i> | <i>Capparis spinosa</i> | AY491650 | <i>Capparis</i> <i>acutifolia</i> | KP092569 | <i>Capparis spinosa</i> | AY167985 | <i>Capparis spinosa</i> | AY122422 |
| <i>Combretum</i> cf. <i>monetaria</i> | <i>Combretum</i> <i>elaegnoides</i> | KC130317 | <i>Combretum</i> <i>fragrans</i> | F1381754 | <i>Combretum</i> <i>apiculatum</i> <i>subsp.</i> <i>apiculatum</i> | KC158546 | <i>Combretum</i> <i>paniculatum</i> | AY905455 |
| <i>Commiphora</i> <i>leptophloeos</i> | <i>Commiphora</i> <i>schelechteri</i> | KF147383 | <i>Commiphora</i> <i>schimperi</i> | JN882702 | <i>Commiphora</i> <i>falcata</i> | GU246030 | <i>Commiphora</i> <i>leptophloeos</i> | KM516816 |

| | | | | | | | | |
|--------------------------------------|--------------------------------------|----------|----------------------------------------------------|----------|--------------------------------------|----------|------------------------------|----------|
| <i>Cordia trichotoma</i> | <i>Cordia torrei</i> | JX517572 | <i>Cordia revoluta</i> | HM443775 | <i>Cordia trichotoma</i> | EU599827 | <i>Cordia lutea</i> | KF158215 |
| <i>Cordia concolor</i> | <i>Alibertia myrciifolia</i> | KF981358 | <i>Gardenia hansemannii</i> | HM443775 | <i>Alibertia myrciifolia</i> | KF981281 | <i>Alibertia edulis</i> | AF201029 |
| <i>Croton adamantinus</i> | <i>Croton dichogamus</i> | KR735055 | <i>Croton guildingii</i> subsp. <i>tarensis</i> | AY971254 | <i>Croton maestrense</i> | EF405857 | <i>Croton grangerioides</i> | KP878425 |
| <i>Croton sonderianus</i> | <i>Croton menyharthii</i> | JF270729 | <i>Croton cupulifer</i> | EU478063 | <i>Croton setiger</i> | EF405853 | NA | NA |
| <i>Cynophalla hastata</i> | <i>Cynophalla hastata</i> | KJ012557 | <i>Capparis acutifolia</i> | KP092569 | <i>Cynophalla hastata</i> | KJ082259 | NA | NA |
| <i>Dalbergia cearensis</i> | <i>Dalbergia monetaria</i> | KJ593846 | <i>Dalbergia miscolobium</i> | EF451070 | <i>Dalbergia hupeana</i> | U74236 | <i>Dalbergia hupeana</i> | KP338261 |
| <i>Ditaxis desertorum</i> | <i>Ditaxis montevidensis</i> | AB233761 | <i>Ditaxis guatemalensis</i> | DQ997792 | <i>Ditaxis montevidensis</i> | AB233865 | <i>Ditaxis simoniana</i> | AY794742 |
| <i>Enterolobium contortisiliquum</i> | <i>Enterolobium contortisiliquum</i> | JX495708 | <i>Enterolobium contortisiliquum</i> | EF638190 | <i>Enterolobium contortisiliquum</i> | JX571823 | <i>Enterolobium timbouva</i> | JX870876 |

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|--------------------------------|--------------------------------|----------|-----------------------------------|----------|--------------------------------|----------|--------------------------------|----------|
| <i>Erythroxylum purgens</i> | <i>Erythroxylum rufum</i> | KJ012581 | <i>Erythroxylum amplifolium</i> | DQ787423 | <i>Erythroxylum confisum</i> | L13183 | <i>Erythroxylum</i> sp. | KC428510 |
| <i>Fraunhoferia multiflora</i> | <i>Fraunhoferia multiflora</i> | JF410097 | NA | NA | NA | NA | <i>Fraunhoferia multiflora</i> | JF410055 |
| <i>Guapira graciliflora</i> | <i>Guapira fragrans</i> | KJ012616 | <i>Guapira fragrans</i> | JX844233 | <i>Guapira fragrans</i> | KJ082334 | NA | NA |
| <i>Guettarda angelica</i> | <i>Guettarda scabra</i> | KJ012627 | <i>Guettarda uruguensis</i> | DQ063692 | <i>Guettarda acreana</i> | JQ626041 | <i>Guettarda speciosa</i> | KJ906574 |
| <i>Handroanthus spongiosus</i> | <i>Handroanthus albus</i> | KF981308 | <i>Handroanthus impetiginosus</i> | JX856460 | <i>Handroanthus albus</i> | KF981207 | <i>Handroanthus guayacan</i> | EF105094 |
| <i>Helicteres eichleri</i> | <i>Helicteres baruensis</i> | JQ589303 | <i>Helicteres guazambifolia</i> | AF233300 | <i>Helicteres angustifolia</i> | AY082356 | NA | NA |
| <i>Jatropha mollissima</i> | <i>Jatropha curcas</i> | KJ663789 | <i>Jatropha gossypifolia</i> | KF551972 | <i>Jatropha curcas</i> | JX571853 | <i>Jatropha integerrima</i> | AY794685 |
| <i>Jatropha mutabilis</i> | <i>Jatropha integerrima</i> | AB233775 | <i>Jatropha integerrima</i> | EU340795 | <i>Jatropha integerrima</i> | AY794902 | NA | NA |
| <i>Jatropha ribfolia</i> | <i>Jatropha podagrica</i> | KJ150223 | <i>Jatropha curcas</i> | EU700455 | <i>Jatropha zeyheri</i> | JQ025058 | NA | NA |
| <i>Lippia origanoides</i> | <i>Lippia</i> | HM853860 | <i>Lippia salsa</i> | FJ867399 | <i>Lippia javanica</i> | JX572735 | <i>Lippia sidoides</i> | AY945838 |

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|---------------------------------------------------------------|-------------------------------|----------|-------------------------------|----------|------------------------------|----------|-----------------------------------|----------|
| <i>Magnolia virginiana</i> | <i>Magnolia virginiana</i> | GQ248153 | <i>Magnolia virginiana</i> | DQ499097 | <i>Magnolia virginiana</i> | GQ248639 | <i>Magnolia virginiana</i> | AY145354 |
| <i>Manihot cf. glaziovii</i> | <i>Manihot esculenta</i> | JQ587466 | <i>Manihot esculenta</i> | GU214953 | <i>Manihot esculenta</i> | AB233880 | <i>Manihot tristis</i> | EU518925 |
| <i>Mimosa tenuiflora</i> | <i>Mimosa tenuiflora</i> | JX850057 | <i>Mimosa guatemalensis</i> | AF458784 | <i>Mimosa strigillosa</i> | KJ773686 | <i>Mimosa quitensis</i> | AF278514 |
| <i>Myracrodruon urundeuva</i> | <i>Astronium graveolens</i> | JQ586471 | <i>Myracrodruon urundeuva</i> | DQ787397 | <i>Astronium ulei</i> | JQ625995 | <i>Myracrodruon urundeuva</i> | AY594560 |
| <i>Pilosocereus gounellei</i> subsp. <i>Gounellei</i> | <i>Pilosocereus rosae</i> | JX683850 | NA | NA | NA | NA | <i>Pilosocereus chrysacanthus</i> | HM041340 |
| <i>Pilosocereus pachycladus</i> subsp. <i>Pernambucoensis</i> | <i>Pilosocereus floccosus</i> | JX683847 | NA | NA | NA | NA | <i>Pilosocereus aureispinus</i> | JN035566 |
| <i>Piptadenia stipulacea</i> | <i>Piptadenia flava</i> | JQ587930 | NA | NA | <i>Piptadenia flava</i> | JQ592113 | <i>Piptadenia moniliformis</i> | AF278496 |
| <i>Poincianella microphylla</i> | <i>Caesalpinia coriaria</i> | JQ587523 | <i>Poincianella pluviosa</i> | KP003693 | <i>Poincianella mexicana</i> | JX856662 | <i>Poincianella eriostachys</i> | EF177389 |

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|---------------------------------|----------------------------------------------|----------|--------------------------------|----------|---------------------------------------------|----------|--------------------------------|-----------|
| <i>Poincianella pyramidalis</i> | <i>Poincianella pyramidalis</i> | JX850053 | <i>Poincianella gaumeri</i> | KP003692 | <i>Caesalpinia calycina</i> | AM234236 | <i>Poincianella caladenia</i> | EF177383 |
| <i>Prockia crucis</i> | <i>Prockia crucis</i> | EF135588 | NA | NA | <i>Prockia crucis</i> | JQ592133 | <i>Prockia costaricensis</i> | AY757056 |
| <i>Pseudobombax marginatum</i> | <i>Pseudobombax septenatum</i> | GQ982072 | <i>Pseudobombax marginatum</i> | AF028521 | <i>Pseudobombax septenatum</i> | GQ981847 | <i>Pseudobombax croizatii</i> | HQ696749 |
| <i>Sapium glandulosum</i> | <i>Sapium glandulosum</i> | JQ589779 | <i>Sapium sebiferum</i> | AF537586 | <i>Sapium glandulosum</i> | AY794841 | <i>Sapium glandulosum</i> | AY794626 |
| <i>Schinopsis brasiliensis</i> | <i>Schinopsis brasiliensis</i> | AY594477 | <i>Schinopsis brasiliensis</i> | AY531203 | NA | NA | <i>Schinopsis brasiliensis</i> | KP055559 |
| <i>Sebastiania macrocarpa</i> | NA | NA | <i>Sebastiania cornuta</i> | AF537587 | <i>Sebastiania klotzschiana</i> | AY794850 | <i>Sebastiania hexaptera</i> | AY794645 |
| <i>Senegalia polyphylla</i> | <i>Senegalia tenuifolia</i> | KJ593761 | <i>Senegalia caffra</i> | JQ265905 | <i>Senegalia tenuifolia</i> | KJ594092 | <i>Senegalia berlandieri</i> | HIM020797 |
| <i>Senna macranthera</i> | <i>Senna macranthera</i> var. <i>nervosa</i> | AM086873 | <i>Senna hirsuta</i> | KJ638428 | <i>Senna macranthera</i> var. <i>micans</i> | JX856680 | <i>Senna pleurocarpa</i> | AF367007 |
| <i>Spondias tuberosa</i> | <i>Spondias mombin</i> | AY594480 | <i>Spondias mombin</i> | AF445882 | <i>Spondias tuberosa</i> | KP774626 | <i>Spondias tuberosa</i> | GU943750 |

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|------------------------------|---------------------------------|----------|------------------------------|----------|------------------------------|----------|------------------------------|----------|
| <i>Tabebuia impetiginosa</i> | <i>Tabebuia impetiginosa</i> | JQ587045 | <i>Tabebuia impetiginosa</i> | JX497689 | <i>Tabebuia impetiginosa</i> | JQ590850 | <i>Tabebuia impetiginosa</i> | EF105097 |
| <i>Varronia leucocephala</i> | <i>Varronia guianacastensis</i> | JQ589896 | <i>Varronia revoluta</i> | HM443774 | <i>Varronia bullata</i> | KF158132 | <i>Varronia bullata</i> | KF158211 |
| <i>Ximenia Americana</i> | <i>Ximenia americana</i> | KJ012821 | <i>Ximenia americana</i> | DQ333869 | <i>Ximenia americana</i> | DQ790149 | <i>Ximenia americana</i> | DQ340620 |
| <i>Ziziphus joazeiro</i> | <i>Ziziphus rignonii</i> | KJ012831 | <i>Ziziphus lloydii</i> | JN900312 | <i>Ziziphus nummularia</i> | JX856807 | <i>Ziziphus glabrata</i> | AJ225799 |

Appendix H. Results of Shapiro-Wilk tests used to test the normal distribution of response variable errors. Response variables: (${}^0\bar{D}(T)$ - mean phylogenetic diversity of total lineages, ${}^2\bar{D}(T)$ - mean phylogenetic diversity of dominant lineages, MPD - mean phylogenetic distance and, NRI - nearest relatedness index). Model factors: CDI - chronic disturbance, OS - ontogenetic stage, A - stem abundance in the plot.

| Model | <i>W</i> | <i>P</i> |
|------------------------------------------------------------------------------------|----------|----------|
| ${}^0\bar{D}(T) = \text{CDI} + \text{OS} + \text{A} + \text{OS} \times \text{CDI}$ | 0.990 | 0.752 |
| ${}^2\bar{D}(T) = \text{CDI} + \text{OS} + \text{A} + \text{OS} \times \text{CDI}$ | 0.973 | 0.07 |
| MPD = CDI + OS + A + OS × CDI | 0.986 | 0.506 |
| NRI = CDI + OS + A + OS × CDI | 0.987 | 0.558 |

Appendix I. Differences in species richness and stems abundance (mean \pm SD) among ontogenetic stages (adults, saplings and seedlings). Different letters indicate significant differences among stages (Tukey tests).

| Ontogenetic stage | Species richness | Stem abundance |
|-------------------|-----------------------------|-----------------------------|
| Adults | 18.5 ^a \pm 3.6 | 362 ^a \pm 92.1 |
| Saplings | 6.7 ^b \pm 2.2 | 11.6 ^b \pm 6.5 |
| Seedlings | 5.1 ^b \pm 1.8 | 10.6 ^b \pm 3.5 |

Appendix J. Model coefficients for each factor model included in the linear models fitted to test the effect of chronic disturbance index on phylogenetic diversity metrics of plant assemblages (adults, saplings and seedlings) from the Brazilian Caatinga, Parnamirim municipality, Pernambuco, Brazil. Model factors codes: CDI – chronic disturbance, OS – ontogenetic stage, A – individuals abundance in each plot. Significance level (^{n.s.} $P > 0.05$; * $P < 0.05$).

| Phylogenetic metrics | ${}^0\bar{D}(T)$ | | ${}^2\bar{D}(T)$ | | MPD | | NRI | |
|----------------------|------------------------|-----------|------------------------|-----------|------------------------|-----------|------------------------|-----------|
| | Estimate | t - ratio | Estimate | t - ratio | Estimate | t - ratio | Estimate | t - ratio |
| CDI | -0.014* | -2.58 | -0.007* | -2.22 | -0.804* | -4.98 | 0.001* | 2.57 |
| A | 0.005 ^{n.s.} | 1.69 | -0.002 ^{n.s.} | -1.12 | -0.047 ^{n.s.} | -0.53 | -0.004 ^{n.s.} | -1.15 |
| CDI × OS (adults) | 0.007 ^{n.s.} | 0.85 | -0.001 ^{n.s.} | -0.07 | 0.290 ^{n.s.} | 1.23 | -0.02* | -2.99 |
| CDI × OS (saplings) | -0.007 ^{n.s.} | -0.90 | 0.000 ^{n.s.} | 0.11 | -0.04 ^{n.s.} | -0.21 | 0.00 ^{n.s.} | 1.08 |
| CDI × OS (seedlings) | -0.000 ^{n.s.} | -0.00 | -0.000 ^{n.s.} | -0.04 | -0.244 ^{n.s.} | -1.09 | 0.01* | 2.07 |

Appendix K. Results of NODESIG procedure showing clades that contributed to non-random phylogenetic structure in adult, sapling and seedling communities. With this method we identified clades that contributed significantly to non-random phylogenetic structure, considering each ontogenetic stage. Based on a randomization test, NODESIG assesses whether a particular NODE in the sample has significantly more or less descendent taxa than expected by a null model (indicated as SIG in the Tables). **Abbreviations:** A = adult; Sa = sapling; Se = seedlings. **References:** Webb, C.O., Ackerly, D.D. & Kembel, S.W. (2008) Phylocom: software for the analysis of phylogenetic community structure and character evolution. *Bioinformatics*, **24**, 2098–2100.

| Adult assemblage | | | | Sapling assemblage | | | | Seedling assemblage | | | |
|-------------------------|--------------------------|--------------------|------------|---------------------------|--------------------------|--------------------|------------|----------------------------|--------------------------|-----------------|------------|
| <i>Plot</i> | <i>Disturbance value</i> | <i>Node</i> | <i>SIG</i> | <i>Plot</i> | <i>Disturbance value</i> | <i>Node</i> | <i>SIG</i> | <i>Plot</i> | <i>Disturbance value</i> | <i>Node</i> | <i>SIG</i> |
| 1 | 53.9 | 30, 50 | more | 1 | 53.9 | 30, 50, | more | 1 | 53.9 | 30, 50, 53, 57, | more |
| | | | | | | | | | | 58, 59 | |
| 2 | 25.3 | 30, 50 | more | 2 | 25.3 | 51, 53, 57, 58, 59 | more | 2 | 25.3 | 51, 53, 57, 58, | more |
| | | | | | | | | | | 59 | |
| 3 | 53.1 | 28, 30, 50, 80 | more | 3 | 53.1 | 83 | more | 5 | 45.4 | 53 | more |
| 5 | 45.4 | 30, 50, 58 | more | 5 | 45.4 | 51, 53 | more | 7 | 61.8 | 53, 57, 58, 59 | more |
| 6 | 43.5 | 30, 50, 82 | more | 7 | 61.8 | 53, 57, 58, 59, 62 | more | 8 | 33.5 | 51, 53 | more |
| 7 | 61.8 | 30, 50, 78, 82 | more | 8 | 33.5 | 50, 51, 53 | more | 9 | 64.4 | 89 | more |
| 10 | 18.8 | 30, 53 | more | 9 | 64.4 | 89 | more | 10 | 18.8 | 89 | more |
| 11 | 47.4 | 30, 50, 78, 80, 82 | more | 10 | 18.8 | 89 | more | 11 | 47.4 | 88, 22 | more |
| 12 | 46.8 | 58 | more | 11 | 47.4 | 86, 88 | more | 20 | 46.1 | 59 | more |

| | | | | | | | | | | | |
|----|------|---------------------------|------|----|------|--------------------------|------|----|------|-----------------------------------|------|
| 15 | 9.8 | 28, 30, 50, 78, 80, 88 | more | 20 | 46.1 | 59 | more | 23 | 27.8 | 30 | more |
| 17 | 0.6 | 30 | more | 23 | 27.8 | 30 | more | 24 | 83.9 | 30, 53, 57, 75 | more |
| 19 | 47.5 | 28, 30, 50 | more | 24 | 83.9 | 30, 53, 57, 75 | more | 25 | 100 | 30, 50, 51, 53, 53, 57, 58, 62 | more |
| 20 | 46.1 | 50 | more | 25 | 100 | 30, 50, 51, 53, 57 73 | more | 27 | 93.2 | 53 | more |
| 21 | 1.9 | 39 | more | 27 | 93.2 | 53 | more | 28 | 83.6 | 86, 88 | more |
| 23 | 27.8 | 28, 30 | more | 28 | 83.6 | 82 | more | 29 | 18.1 | 58, 59 | more |
| 23 | 27.8 | 7 | less | | | | | | | | |
| 24 | 83.9 | 73 | more | | | | | | | | |
| 26 | 68.1 | 73 | more | | | | | | | | |
| 29 | 18.7 | 28, 30, 86 | more | | | | | | | | |

| Node codes | | |
|--------------------|-------------|---------------------------------------------------------|
| <i>Assemblages</i> | <i>Node</i> | <i>Clade</i> |
| A/ | 7 | Lamiids (Gentianales and Lamiales) |
| A/ | 28 | Core Eudicots |
| A/Sa/Se | 30 | Rosids (Fabids and Malvids) |
| A | 39 | Malvales to Brassicales |
| A/Sa/Se | 50 | Fabids (Celastrales, Malpighiales, Fabales and Rosales) |
| Sa/Se | 51 | Celastrales to Malpighiales |
| A/Sa/Se | 53 | Malpighiales |
| Sa/Se | 57 | Euphorbiaceae |
| A/Sa/Se | 58 | <i>Croton</i> to <i>Jathropa</i> |
| Sa/Se | 59 | <i>Croton</i> |
| Sa/Se | 62 | <i>Jatropha</i> |
| A/Sa | 73 | <i>Manihot</i> and <i>Cnidocolus</i> |
| Sa/Se | 75 | <i>Cnidocolus</i> |
| A | 78 | Fabids |
| A | 80 | Fabaceae |
| A/Sa/Se | 82 | Papilionoideae and Mimosoids |

| | | |
|---------|----|------------------------------|
| Sa | 83 | <i>Amburana to Dalbergia</i> |
| A/Sa/Se | 86 | Palpilionoideae |
| A/Sa/Se | 88 | Mimosoids |
| Sa/Se | 89 | <i>Poncianella</i> |

Appendix L. Correlations between the chronic disturbance index and the abundance of each species for adult (A), sapling (B) and seedling (C) plant assemblages. Gray rectangles highlight species abundances that are significantly ($p < 0.05$) higher or lower due to chronic disturbance.

